

# DBHDS Office of Licensing Applicant Training

# A Guide to Success in the Application Process

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**Chesna Gore and Jamie Ball** 

Office of Licensing Virginia Department of Behavioral Health and Developmental Services

### Do Your Research

• Is the service you plan to provide **needed**?

• Is the area you are wanting to provide the service **saturated**?







### Do Your Research

• Is there **funding** for your service?



• Are there **qualified staff** in your area?







# Developing a Business Plan

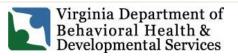
• What is your **mission**?



• Develop your Service Description

Review the application to determine which service you would apply for, based on the licenses available. Include the details of the service you plan to provide.





# Developing a Business Plan

• Prepare a Competitive Analysis:



Assess your direct/indirect competitors in the market

What unique qualities make your business stand out from the competition?



How will you overcome any barriers to entry in your chosen market?



### **Developing a Business Plan**

Develop a Marketing Plan



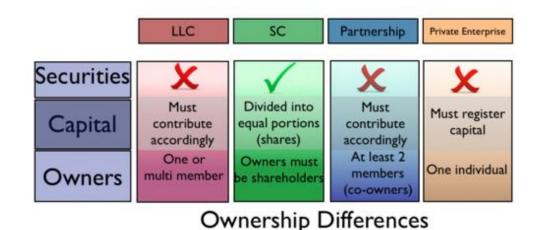




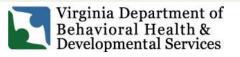
# Develop a Business Plan

- Develop an ownership and management plan.
  - Outline your business's legal structure and management resources
    - ✓ Internal management team
    - ✓ External management team
    - ✓ Human resources needs









# Develop a Business Plan

- Develop an operating plan (detailed information about how your business will be run)
  - Physical location needs
  - Description of facilities and equipment
  - ➤Types of employees needed
  - >Inventory requirements
  - ➤Suppliers

- Any other applicable operating details that pertain to your precise type of business



# Develop a Budget

### Financial Plan

Develop a **budget** to include all operating costs related to your business







# Four Phases of the Application Process

# Four Phases of the Application Process **Priority Applicants**



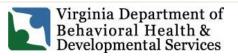
### **PHASE ONE:**

New applicants will submit the following documentation for review through the DBHDS CONNECT Provider Portal:

- A completed Licensing Application with the required attachments <u>AND</u>
- > The Licensing Policies and Procedures (P & Ps).

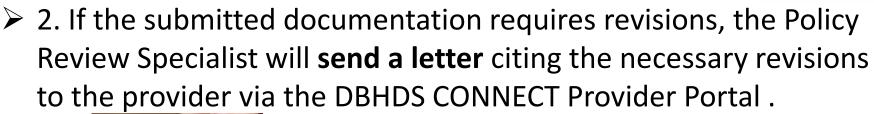


Once the prioritized applicant submits a complete initial application, they will receive a welcome letter with additional instructions for beginning the background check and central registry search processes. The applicant should begin the background check and central registry search processes **immediately** as a DBHDS licensed provider may not serve individuals until they have requested background and central registry checks for all direct care staff.



### **PHASE TWO:**

1. A Policy Review Specialist will review the application, attachments, policies and procedures to determine compliance with the Licensing Regulations.





3. The applicant will make required corrections and submit the updated documentation to the Policy Review Specialist through the DBHDS CONNECT Provider Portal.





#### PHASE TWO CONTINUED:



4.Revisions must be received within 30 days from the date on the last revision letter

<u>Please note</u>: Applicants that do not provide revisions within the required timeframe of a request for revisions **will be closed for review**. All future interest in receiving a DBHDS license will require the submission of a new application, including policies and procedures, which will be placed on the **waiting list**.



### PHASE THREE (RESIDENTIAL SERVICES ONLY):

- The Policy Review Specialist will assign the applicant to a Licensing Specialist.
- The assigned Licensing Specialist will call the applicant to schedule their on-site inspection. If the applicant fails to schedule an on-site inspection within **30 days** of the date on the letter assigning the applicant to the Licensing Specialist, the applicant's application will be closed.
- During the on-site inspection, the Licensing Specialist will review the regulations that apply to the physical environment.





### PHASE THREE (RESIDENTIAL SERVICES ONLY) CONTINUED:

Once the on-site inspection is completed, the Licensing Specialist will make a licensing recommendation to the Office of Licensing management staff for review, who will then forward the recommendation to the DBHDS Commissioner for final approval.

<u>Please note</u>: prior to the issuance of an annual license, the Licensing Specialist will conduct a full on-site inspection where they will review individual records, personnel records, and additional documentation, as appropriate, for compliance with the Licensing Regulations.



### PHASE THREE (NONRESIDENTIAL SERVICES)

- 3. The Policy Review Specialist will assign the applicant to a Licensing Specialist.
- ➤ 4. No additional action is needed from the applicant.





### **PHASE FOUR:**

Applicant will receive notification through the DBHDS CONNECT Provider Portal once their license has been approved.





# Four Phases of the Application Process Non-Priority Applicants



### PHASE ONE:

New applicants will submit the following documentation for review through the DBHDS CONNECT Provider Portal:

# A completed Licensing Application with the required attachments <u>AND</u>

### > The Licensing Policies and Procedures (P & Ps)

When the Office of Licensing has a waiting list, the application is placed on the waiting list. The waiting list can be viewed on the DBHDS website. An up-to-date waitlist can be viewed from the CONNECT Provider Portal Dashboard at any time.



### **PHASE TWO:**

- A Policy Review Specialist will review the application and attachments to determine compliance with the Licensing Regulations and Code of Virginia.
- If the submitted documentation requires revisions, the Policy Review Specialist will send a letter to the applicant, via the DBHDS CONNECT Provider Portal, citing the necessary revisions.
- The applicant will make required corrections and submit the updated documentation to the Policy Review Specialist through the DBHDS CONNECT Provider Portal.





#### PHASE TWO CONTINUED



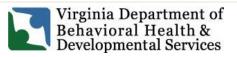
- Revisions must be received within 90 days from the date on the last revision letter.
- Once the Policy Review Specialist determines the application and attachments meet requirements within the Licensing Regulations and Code of Virginia, they will utilize the revision letter to request for nonprioritized applicants of center based and residential services to upload their location address, certificate of occupancy, and any additional physical site documentation, as appropriate, prior to assignment to a Licensing Specialist.

**Please note:** Applicants that do not provide revisions within **90 days** of a request for revisions will be closed for review.



### PHASE THREE (RESIDENTIAL SERVICES ONLY):

- The Policy Review Specialist will assign the applicant to a Licensing Specialist.
- During this time, the applicant will contact the DBHDS Background Investigation Unit and register with Fieldprint to initiate the Criminal Background Check process
- The assigned Licensing Specialist will call the applicant to schedule their on-site inspection. If the applicant fails to schedule an on-site inspection within **90 days** of the date on the letter assigning the applicant to the Licensing Specialist, the applicant's application will be closed.



### PHASE THREE (RESIDENTIAL SERVICES ONLY) CONTINUED:

- During the on-site inspection, the Licensing Specialist will review the regulations that apply to the physical environment.
- Once the on-site inspection is completed, the Licensing Specialist will make a licensing recommendation to the Office of Licensing management staff for review, who will then forward the recommendation to the DBHDS Commissioner for final approval.

**Please note:** prior to the issuance of an annual license, the Licensing Specialist will conduct a full on-site inspection where they will review individual records, personnel records, and additional documentation, as appropriate, for compliance with the Licensing Regulations.



### PHASE THREE (NONRESIDENTIAL SERVICES)

- The Policy Review Specialist will assign the applicant to a Licensing Specialist.
- During this time, the applicant will contact the DBHDS Background Investigation Unit and register with Fieldprint to initiate the Criminal Background Check process. No additional action is needed from the applicant.





### **PHASE FOUR:**

- > The finalized license is provided to the new provider.
- Applicant will receive notification through the DBHDS CONNECT Provider Portal once their license has been approved.





# **Helpful Information**

# For more detailed information regarding the Initial Application process, please navigate to the Initial Applicant section of our Licensing Website

 Citik below for help using the CONNECT Provider Portal or to report an issue.

 CONNECT User Resources
 Initial Applicants

 Log Into CONNECT

 Licensed Provider Search
 Subscribe to the Email List

 Use the Valeira Department of Behavioral Health and Developmental Services.
 Say to toget news and updates delivered to yourpoint Offfer Of Licensing at Provider Search.

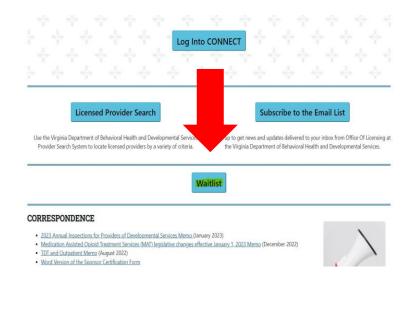
**CONNECT Provider Portal Resources and Information** 

### **2023 Initial Applicant Licensing Resources**



# **Helpful Information**

# Once the application is submitted, the waitlist can be viewed at any time.



Welcome to the Virginia Department of Behavioral Health and Developmental Services CONNECT Prov

The Provider Portal Dashboard provides access to applicant and licensed provider information online and alk with the Office of Licensing. Only authorized users can complete licensing tasks online including submitting a modifications, as well as Corrective Action Plan management.



Dash

If you are already registered and know your login information, please enter your email address and password button if the metal of the set your password every 90 days. If you wish to reset your password, enter you password the "Dashboard" option for your provider on the Provider Selection page; and then on the [ "Char on Information". If your password has expired, click the "Forgot Password?" link below.

> mber of a licensed provider organization and do not have login information, please select the ' iders' button. Once approved as an authorized user for the CONNECT Provider Portal by you orary password.

> plicant and you would like to begin the initial application or change of ownership applicatic ints' button. Please Note: You will need to go through a security check before you are given a is may take 1-2 business days once the request has been processed.



Forgot Email Address?

Password Forgot Password?



# Helpful Information

# Below is an example of how the waitlist will appear once it is downloaded in excel:

1	В	C	D	E	F	G	H		K
W	ait List Number	Provider Name	Provider Number	Service-Program ID	Licensed As	Application Type	Date Received	Expedited	
1		The Haven House, LLC	4338	01-005	An intermediate care facility for individuals with an intellectual disability (ICF-IID) residential service for adults	Initial Service Application	03/16/2021	No	
2		Adonai Group Home, LLC	4520	01-001	A developmental disability residential group home service for adults	Initial Service Application	08/16/2021	No	
3		McCleandon Estates	4521	01-001	A developmental disability residential group home service for adults	Initial Service Application	08/18/2021	No	
4		Blessed Behavioral and Residential Services, LLC	4524	01-001	A developmental disability residential group home service for adults	Initial Service Application	08/23/2021	No	
5		Amazing Care Services, LLC	4526	01-001	A developmental disability residential group home service for adults	Initial Service Application	08/24/2021	No	
6		Inspiring Peace,LLC	4533	01-001	A developmental disability residential group home service for adults	Initial Service Application	08/30/2021	No	
7	Sheet1	Guardian Angels, LLC	4534	01-001	A developmental disability residential group home service for adults	Initial Service Application	08/30/2021	No	



### **Contact Us**

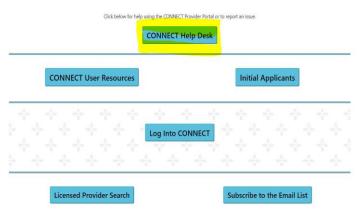
### To reach out to our CONNECT Help Desk, please submit a ticket on our website or reach out to <u>licensingconnectinquiry@dbhds.virginia.gov</u>

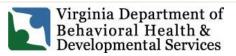


OUR MISSION: To be the regulatory authority for DBHDS licensed service delivery system through effective oversight.

OUR VISION: The Office of Licensing will provide consistent, responsive, and reliable regulatory oversight to DBHDS licensed providers by supporting high
quality services to meet the diverse needs of its clients.

#### **CONNECT Provider Portal Resources and Information**





### **Contact Us**

For general questions regarding the licensing process, please reach out to <u>licensingadminsupport@dbhds.virginia.gov</u>

For a specific list of all Office of Licensing Staff, please view the **Office of Licensing Staff Contact Information** page



### **Questions?**

## If there are additional questions, please visit our website to submit an inquiry or apply for a license <u>Office of Licensing - Virginia Department of</u> <u>BehavioralHealth and Developmental Services</u>



